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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449/PTO

Sheet.....1

(Use as many sheets as necessary) of

Complete ii valoriii				
Application Number	10/812,476			
Filing Date	3/29/2004			
First Named Inventor	Douglas C. Harrington			
Art Unit	3739			
Examiner Name	Aaron F. Roane			

Attorney Docket Number 17,003011 CON 2

			II S PATENT	DOCUMENTS	
Examiner Initials*	Cite No.	Document Number  Number-Kind Code <sup>2 (Macount)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US- 4,185,618	01/29/1980	Corey	
	AB	US- 4,258,721	03/31/1981	Parent, et al.	
	AC	US- 4,311,145	01/19/1982	Esty, et al.	
	AD	US- 4,416,660	11/22/1983	Dafce	
	AE	US- 4,523,590	06/18/1985	Roth, et al.	
	AF	US- 4,537,186	08/27/1985	Verschoof, et al.	
	AG	US- 4,641,634	02/10/1987	Storz	
	AH	US- 4,779,611	10/25/1988	Grooters, et al.	
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	AP	US- 5,391,146	02/21/1995	That, et al.	
	AQ	US- 5,395,342	03/07/1995	Yoon	
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	AS	US- 5,460,628	10/24/1995	Neuwirth, et al.	

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Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (# known)	NIM-DD-YYYY		Or Relevant Figures Appear	L
	Z1	AU-B-59403/96	02/06/1997	Eder, et al.		
	Z2	CA 2,182,738	10/17/2000	Eder, et al.		_
	Z3	EP 0 105 669	04/18/1984	Krumme, et al.		L
	Z4	EP 0 153 190	08/28/1985	Olympus		L
	Z5	EP 0 541 258	05/12/1993	Imagyn Medical Inc.		<u> </u>
	26	EP 1 554 999	07/20/2005	Conceptus Inc.		L

Examiner Signature	Date Considered	

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Sheet 2

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Complete II Known				
Application Number	10/812,476			
Filing Date	3/29/2004			
First Named Inventor	Douglas C. Harrington			
Art Unit	3739			
Examiner Name	Aaron F. Roane			
Attorney Docket Number	17.003011 CON 2			

U. S. PATENT DOCUMENTS Name of Patentee or ages, Columns, Linas, Where Publication Date Evamine Cita Document Number Applicant of Cited Document Relevant Passages or Relevant MM-DD-YYYY No. Initials\* Figures Appear Number-Kind Code<sup>2 of Second</sup> US- 5,469,857 11/28/1995 Laurent, et al. ΑT Δil US- 5.569.242 10/29/1996 Lavetal US- 5.581.487 12/03/1996 Kelly, et al. AV US- 5.617.319 04/01/1997 Arakawa et al US- 5,746,769 05/05/1998 Ton, et al. 07/28/1998 Bednarek AY US- 5,785,706 US- 5,935,137 08/10/1999 Saadat, et al. A7 US- 6.042.590 03/28/2000 Sporri, et al. US- 6,068,626 05/30/2000 Harrington, et al. BB 06/06/2000 Nardella, et al. BC. US- 6.071.283 US- 6,080,152 06/27/2000 Nardella, et al. BD Ingle, et al. US- 6.091.995 07/18/2000 RF US- 6,096,052 08/01/2000 Callister, et al. 01/23/2001 Nikolchev, et al. BG US- 6,176,240 02/12/2002 US- 6 346 102 Harrington, et al. BH 08/13/2002 Callister et at US- 6,432,116 US- 6 526,979 03/04/2003 Nikolchev, et al. BJ Nikolchev, et al. 10/21/2003 US- 6,634,361 10/28/2003 Roche, et al. US- 6 637 962 BL

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er Cite		Foreign Patent Document	Date	Name of Patentee or Applicant of Cited Document	Where Relevant Passages	١.
	Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>3</sup> (if known)	MM-DD-YYYY		Or Relovant Figures Appear	Ľ	
Z7	GB 2 359 492	08/29/2001	Wolf		L	
Z8	WO01/91834	12/06/2001	Taut Inc.		L	
Z9	WO02/28311	04/11/2002	XY Inc.		L	
Z10	WO97/17030	05/15/1997	C.R. Bard Inc.		L	
Z11	WO98/55046	12/10/1998	Harrington, et al.		L	
	Z7 Z8 Z9 Z10	Cite   Foreign Patent Document	Cite No.         Foreign Patent Document         Publication Date Mx-Document           27         GB 2 359 492         08/29/2001           28         WO01/91834         12/06/2001           29         WO02/28311         04/11/2001           210         WO097/17030         05/15/1997	Date   Date	Color   Foreign Patent Document   Publication   Date   Pages, Columns, Lines   Pages, Columns, Lines	

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Translation is attached. This collection of information is required by 37 CFR 197 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by \$5 U.S.C. 123 and 37 CFR.1.4. This collection is estimated to take 2 hours to complete including galaeting, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time your require to complete this form and/or superstons for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandra, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/812,476			
Filing Date	3/29/2004			
First Named Inventor	Douglas C. Harrington			
Art Unit	3739			
Examiner Name	Aaron F. Roane			
Attorney Docket Number	17.003011 CON 2			

Sheet	3	of 5		Attorney Docket Number 17.0	03011 CON 2
			II C DATEN	T DOCUMENTS	
Examiner Initials*	Cite No.	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	BM	US- 6,679,266	01/20/2004	Nikolchev, et al.	
	BN	US- 6,682,477	01/27/2004	Boebel, et al.	
	BO	US- 6,684,884	02/03/2004	Nikolchev, et al.	
	BP	US- 6,705,323	03/16/2004	Nikolchev, et al.	
	BQ	us- 6,709,667	03/23/2004	Lowe, et al.	
	BR	US- 6,712,810	03/30/2004	Harrington, et al.	
	BS	US- 6,726,682	04/27/2004	Harrington, et al.	
	BT	US- 6,763,833	07/20/2004	Khera, et al.	
	BU	US- 6,871,650	03/29/2005	Nikolchev, et al.	
	BV	us- 6,964,274	11/15/2005	Ryan, et al.	
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	BX	US- 7,195,630	03/27/2007	Ciarrocca	
	BY	US- 7,220,259	05/22/2007	Harrington, et al.	
	BZ	US- 7,237,552	07/03/2007	Khera, et al.	
	CA	us- 7,398,780	07/15/2008	Callister, et al.	
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	CC	us- 7,506,650	03/24/2009	Lowe, et al.	
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		Country Code <sup>3</sup> Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Ľ
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449/PTO

Sheet 4

(Use as many sheets as necessary)

Application Number	10,012,476
Filing Date	3/29/2004
First Named Inventor	3739
Examiner Name	Aaron F. Roane
Aatroney Docket Number	7,003011 CON 2

				DOCUMENTS	Pages, Columns, Lines, Where
Examiner Initials*	Cite No.	Document Number	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> If Assemb			1 iguico / ppour
	CF	US- US2007/0196158	08/23/2007	Roche, et al.	
		US- US2004/0255958	12/23/2004	Harrington, et al.	
		US- US2004/0267308	12/30/2004	Bagaoisan, et al.	
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		FOREI	GN PATENT DOCU	MENTS		
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USF) Ob process an application. Consideratisty is governed by 15 U.S.C. 126 and 3 CFR 114. This collection is estimated to take 2 box compiled. The collection is estimated to take 2 box compiled. The collection is useful to the collection of the individual case. Any comments are considerated to the collection of the collection is useful to the collection is useful. The collection is useful to the collection is usefu

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Substitute for form 1449/PTO				Complete if Known		
Substitu	te for form 1448/P1O			Application Number	10/812,476	
INF	ORMATION	DIS	CLOSURE	Filing Date	3/29/2004	
STATEMENT BY APPLICANT (Use as many sheets as necessary)				First Named Inventor	Douglas C. Harrington	
				Art Unit	3739	
				Examiner Name	Aaron F. Roane	
Sheet	5	of	5	Attorney Docket Number	17.003011 CON 2	

		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volum number(s), publisher, city and/or country where published.			
	X1	Conceptus Incorporated, Summary of Safety and Effectiveness Data, P020014, November 4, 2002		
	X2	KEARNEY, Patent Cooperation Treaty Written Opinion, International Application No. PCT/US98/08111, Date of Mailing 22 Feb. 1999		

Examiner	Date	
Signature	Considered	1

<sup>&</sup>quot;EXAMINER: Initial if reference considered, whether or not distion is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered, include copy of the form with next communication to application.

1. Application string contained registration of Application is to great an extract process of such contact here (Fergish Interprets Translation is altituded.)

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